

From the Houston Business Journal

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The List

Top Houston-Area Wealth Management Firms and Practices with Investment Minimums of less than \$1 million Ranked by Assets Per Local Client

Locally Researched by: Madison Henry, Houston Business Journal

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Staff *Houston Business Journal*

Information for The List was obtained from questionnaires completed by company representatives, SEC filings and HBJ researcher. Not all information could be verified by HBJ, and only firms that responded to the survey are included in The List. In the event of a tie, assets under management for Houston-area clients is the secondary ranking criteria. Last year, The Wealth Management Firms & Practices List was split into five categories, This year, The List has been consolidated into two categories: those with investment minimums of less than \$1 million and those with investment minimums of \$1 million or greater.

Rank	Firms/Practice Name	AUM for Houston Clients	Local Clients	Investment Minimum	Top Local Executive	Associated Company	Year Founded Locally
1	Tiras Wealth Management 11 Greenway Plz. #3000 Houston, TX 77046 713-332-4412 tiraswealth.com	\$1.5 billion	541	\$500,000	Scott Tiras, president, scott.b.tiras@ampf.com; Crystal Garrett, SVP, crystal.l.garrett@ampf.com	Ameriprise Financial Services Inc.	1988
2	Intrua Financial 1502 Augusta Dr. #400 Houston, TX 77057 713-355-9910 intrua.com	\$1,000 million	1,500	\$250,000	Andrew Keller, managing partner, andrew.keller@pl.com	Independent firm	2008
3	Chilton Capital Management LLC 1177 W. Loop S. #1310 Houston, TX 77027 713-650-1995 chiltoncapital.com	\$707.45 million	1,347	\$250,000	Christopher Knapp, CEO, cknapp@chiltoncapital.com; Thomas Motter, CIO, tmotter@chiltoncapital.com	Independent firm	1996
4	Pennington Wealth Management 11 Greenway Plz. #3000 Houston, TX 77046 713-332-4422 penningtonwealth.com	\$696.18 million	479	\$500,000	Darrell Pennington, managing partner, darrell.r.pennington@ampf.com	Ameriprise Financial Services Inc.	1995
5	The SKJ Wealth Management Group 1301 McKinney St. #3500 Houston, TX 77010 713-654-7208 morganstanleyfa.com/theskjteam	\$690 million	357	\$250,000	Stephen Lamb, steve.lamb@morganstanley.com; Jeremy Newton, SVPs, jeremy.newton@morganstanley.com	Morgan Stanley	2001
6	Patriot Wealth Management Inc. 1800 Bering #250 Houston, TX 77057 713-344-9300 patriotwealth.com	\$606.39 million	211	\$750,000	Robert Leslie, CIO, robert@patriotwealth.com	Independent firm	2004
7	Portfolio Advisory Council LLC 3 Greenway Plz. #1776 Houston, TX 77046	\$400 million	500	\$250,000	Philip Moran, portfolio manager, pmoran@pacadvisory.com; W. Thomas Sutton, founder, wtsutton@pacadvisory.com	Independent firm	1986

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8	713-574-6015 pacadvisory.com Stavis & Cohen Financial LLC 1330 Post Oak Blvd. #2190 Houston, TX 77056 713-275-7750 stavisandcohen.com	\$366 million	160	\$0	Deborah Stavis, founder/CEO, dstavis@stavisandcohen.com; Eddie Cohen, founder/CIO, ecohen@stavisandcohen.com	Independent firm	2009
9	Ruszkowski-Fisher Group 17225 El Camino Real #200 Houston, TX 77058 281-212-9132 fa.ml.com/the_rf_group	\$305 million	180	\$500,000	Kevin Ruszkowski, SVP wealth management, kevin_ruszkowski@ml.com; Michael Fisher, VP, Micheal_fisher@ml.com	Merrill Lynch	2005
10	Sequent Asset Management LLC 952 Echo Ln. #115 Houston, TX 77024 713-467-0008 sequentasset.com	\$254.6 million	15	\$0	David Doll, founder/CEO, ddoll@sequentasset.com; Tim Hartzell, founder/CIO, thartzell@sequentasset.com	Independent firm	2008
11	PartnersInWealth 3400 Bissonnet #145 Houston, TX 77005 713-964-4028 partnersinwealth.com	\$235 million	85	\$0	James Waters, president, jrw@partnersinwealth.com	Independent firm	2000
12	Legacy Asset Management Inc. 3411 Richmond Ave. #750 Houston, TX 77046 713-355-7171 legacypasset.com	\$225 million	300	\$250,000	Richard Kaplan, CIO, principal; Joseph Birkofer, principal, jbirkofer@legacypasset.com	Independent firm	1998
13	South Texas Money Management Ltd. 1 Riverway 777 S. Post Oak Ln. Ste. 2055 Houston, TX 77056 713-683-3818 stmmltd.com	\$214.67 million	234	\$500,000	Jeanie Wyatt, CEO/CIO, jwyatt@stmmltd.com	Independent firm	2003
14	The Shakiba Group 711 W. Bay Area Blvd. Webster, TX 77598 281-724-9917 theshakibagroup.com	\$205 million	219	\$0	Drew Powers, associate financial advisor, drew.powers@ampf.com; Trevor Shakiba, private wealth advisor, trevor.m.shakiba@ampf.com	Ameriprise Financial Services Inc.	2003
15	WJ Interests LLC 2333 Town Center Dr. #100 Sugar Land, TX 77478 281-634-9400 wjinterests.com	\$200 million	184	\$500,000	Bill Jameson, president, wj@wjinterests.com; Jared Jameson, CIO, jaredj@wjinterests.com	Independent firm	1996
15	Branson, Fowlkes & Company Inc. 3300 Chimney Rock Rd. #100-B Houston, TX 77056 713-780-0606 bransonfowlkes.com	\$200 million	185	\$250,000	Jay Branson, president, jbranson@bransonfowlkes.com; Maco Fowlkes, principal, mfowlkes@bransonfowlkes.com	Independent firm	1991
15	Rowley, Hoffman and Associates 5151 San Felipe St. Houston, TX 77056 713-260-1391 ameripriseadvisors.com/team/rowley-hoffman-associates	\$200 million	300	\$500,000	Chris Rowley, crowley@ampf.com; Craig Hoffman, financial advisors, choffman@ampf.com	Ameriprise Financial Services Inc.	2002
18	Copeland Kemp & Associates Merrill Lynch 1221 McKinney, Ste. 3900 Houston, TX 77401	\$192 million	121	\$250,000	Chris Copeland, SVP wealth management, christiane_copeland@ml.com	Merrill Lynch	1996

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19	713-658-1528 fa.ml.com/cma ZT Wealth and Altus Health Group of Cos. 11233 Shadow Creek Pkwy. #313 Pearland, TX 77584 832-230-8100 ztwealth.com	\$120 million	1,000	\$250,000	Taseer Badar, CEO, taseer@ztwealth.com; Kraig Killough, COO, kraig@ztwealth.com	Independent firm	1997
20	Core Capital Management & Research Inc. 5850 San Felipe #111 Houston, TX 77057 713.864.4300 ccmrusa.com	\$73.48 million	35	\$0	Louis Pelz, owner/founder, l.pelz@comcast.net	Independent firm	1997
21	Barrington Financial Advisors 9800 Richmond Ave. #250 Houston, TX 77042 713-785-7100 barringtonfinancial.com	\$70 million	300	\$0	William Heath, chairman/CEO, bheath@barringtonfinancial.com	Independent firm	1972
22	Sudduth Laird 5847 San Felipe #4300 Houston, TX 77057 713-840-3687 raymondjames.com/sudduthlaird	\$50 million	153	\$0	John Sudduth, SVP investments, john.sudduth@raymondjames.com	Raymond James & Associates Inc.	1981
23	Michael R. Misner 24 Waterway Ave. #1400 The Woodlands, TX 77380 281-719-3219 ameripriseadvisors.com/michael.r.misner	\$46.48 million	172	\$0	Michael Misner, financial advisor, michael.r.misner@ampf.com	Ameriprise Financial Services Inc.	1990
24	FCA Corp. 791 Town and Country Blvd. #250 Houston, TX 77024 713-787-2856 fcacorp.com	\$38.4 million	37	\$100,000	Wesley Yuhnke, CIO; Robert Scharar, president	Independent firm	1983
25	Black Label Investment Group 375 Sawdust Rd. Spring, TX 77380 936-760-2601 blacklabelinvestmentgroup.com	\$35 million	10	\$250,000	Casey Butaud, founder/fund manager, cb@blacklabelinvestmentgroup.com	Independent firm	2011